

Company Name \_\_\_\_\_

**Type of Organization**

- Supplier       End user       Government Agency       Educational / Research  
 Certification Body       Consultant       Other (specify) \_\_\_\_\_

Principal Product/Service \_\_\_\_\_

Annual Sales \_\_\_\_\_

Number of Employees \_\_\_\_\_ (Government agencies only)

VAT number \_\_\_\_\_ (Members from the European Union Only)

**Principal Office Address**

Company Name \_\_\_\_\_

Street Address 1 \_\_\_\_\_

Street Address 2 \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_ Postal Code \_\_\_\_\_

Country \_\_\_\_\_

**Annual membership fees are based upon type of membership** (Annual fees listed in \$USD for rolling 12 months, automatically renewed on membership anniversary date)

- |   |  |
|---|--|
| <input type="checkbox"/> Strategic Member ( <b>voting</b> )             | <input type="checkbox"/> \$50,000  |
| <input type="checkbox"/> Technical Member ( <b>voting</b> )             | <input type="checkbox"/> \$20,000 (Annual Revenues over \$500 million)   |
|   | <input type="checkbox"/> \$10,000 (Annual Revenues under \$500 million)  |
|   | <input type="checkbox"/> \$ 5,000 (Annual Revenues under \$50 million)   |
|   | <input type="checkbox"/> \$ 3,000 (Annual Revenues under \$5 million) \$ |
|   | <input type="checkbox"/> \$ 5,000 ( <i>Certification Body</i> )          |
| <input type="checkbox"/> Associate Member ( <b>non-voting</b> )         | <input type="checkbox"/> Government Agency/Industry Group/Other \$5,000  |
|   | <input type="checkbox"/> Individual \$500                                |
| <input type="checkbox"/> Adopter/Supporter Member ( <b>non-voting</b> ) | <input type="checkbox"/> \$2,500 (Annual Revenues over \$500 million)    |
|   | <input type="checkbox"/> \$1,500 (Annual Revenues under \$500 million)   |
|   | <input type="checkbox"/> \$ 750 (Annual Revenues under \$5 million)      |

## ASCI Membership Application

**Payment Options** (please make checks or purchase orders payable to ASCI, Inc.)

- Check
- Please Invoice – I am providing a Purchase Order – PO Number \_\_\_\_\_
- Credit Card (use attached form)

## Applicant Information

### Membership Listing

Your signature on this application authorized the Automation Standards Compliance Institute (ASCI) to publicly include your company's name and listed affiliates on our publicized membership listings including our website. You are also authorizing ASCI to draft and issue press releases announcing such membership subject to prior approval by your designated primary contact. Please write the exact way your company name should appear in all ASCI lists.

Exact Company Name \_\_\_\_\_

Acceptable Short Version of Company Name \_\_\_\_\_

Web Address \_\_\_\_\_

List any additional Email domains or URLs used by your organization

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### Company Contact / Designated Representative Information

**Primary Contact Information** (person who will handle correspondence on behalf of the organization)

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Job Title \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website URL \_\_\_\_\_

**Secondary Contact Information** (person who will handle correspondence in the absence of individual above)

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Job Title \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website URL \_\_\_\_\_

# Applicant Information

## Technical Representative Contact Information

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Job Title \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website URL \_\_\_\_\_

## Marketing / Public Relations Contact Information

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Job Title \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website URL \_\_\_\_\_

## Designated Voting Representative Contact Information

First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Job Title \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_ Website URL \_\_\_\_\_

# ASCI Membership Agreement

## Terms and Conditions

### Definition of Terms

**ASCI – Automation Standards Compliance Institute** – Not for profit Section 501(c) (6) corporation whose purpose is to engage in conformity assessment activities, incorporated by ISA in the state of North Carolina. Articles of Incorporation and Bylaws can be found on the ASCI website.

**Board** – ASCI Forum Board of Directors (for example ISA Security Compliance Institute Governing Board)

**Member** - The company or organization that has joined ASCI to participate in the Forums through execution of the Membership Agreement. ASCI Forums (Interest Groups) will create membership levels and entitlements according to the needs of the Forum. Fees and membership categories will vary among the Forums and are determined by the Forum's founding leaders and ultimately the established Board of Governance for each Forum.

**Participants** – Non-members of ASCI who participate in the consortium such as subject matter experts whether on a voluntary basis or paid basis. Participants may contribute to the consortium but are not entitled to the rights of membership such as voting.

**Forum(s)** - Working entities set up by ASCI for the benefit of its members. Forums are also identified as 'Interest Area Groups' in the ASCI Bylaws. The ISA Security Compliance Institute is an example of a Forum or Interest Area Group.

**Membership Agreement** - The Agreement executed by ASCI and the Member through which the Member becomes a member of ASCI.

**Adopted Specifications** – Adopted Specifications also known as *Profiles* in the product conformance community, are the composition of functional requirements, technical specifications, testing requirements, and other documents that articulate measurable requirements that must be attained to claim the right to use a trademarked product designation.

**Information** - Specifications, drawings, sketches, models, samples, data, computer programs or documentation or other technical or business information in written, graphic or other tangible or electronic form.

### Intellectual Property Rights (IPR)

- The Member retains its ownership rights to Information the Member furnishes or discloses in connection with any ASCI Forum or other activity but grants to ASCI a perpetual, royalty-free, worldwide license to use the same, in ASCI's discretion.
- Information and other intellectual property developed by participants in an ASCI Forum or otherwise under the auspices of ASCI becomes the property of ASCI, and the Member transfers to ASCI any ownership rights in or to the same that might, absent such transfer, accrue to the Member. Should any Information or other intellectual property developed by or through ASCI incorporate pre-existing Information provided by the Member, the Member does not forfeit its ownership rights to that pre-existing Information, but that Information is hereby licensed to ASCI, as referenced above.

### Confidentiality

Member agrees to the following obligations of confidentiality with respect to information received through participation in the Forum(s):

- Information furnished or disclosed by ASCI to Member, or by any other member in the course of the Forums' activities, shall be confidential. Member agrees to maintain all such Information in confidence, using the same

# ASCI Membership Agreement

## Terms and Conditions

degree of care Member uses to protect its own proprietary information of like importance, but in no event less than a reasonable degree of care.

- Member agrees to disclose Information only to its employees and agents of Member or its affiliates for purposes of advancing the work of the Forums and to advise each such employee or agent of the confidentiality terms set out in this Agreement.
- The provisions set out in this section shall not apply to Information which:
  - i) is or becomes a part of the public domain ,except through breach of this agreement, ii) is demonstrably known to Member prior to disclosure within the Forums,
  - iii) was independently developed by the Member without the use of information provided under the Membership Agreement, iv) was rightfully obtained by Member from third parties with no obligation of confidentiality.
- The obligations of confidentiality set out above shall remain in effect for the duration of the Membership Agreement and two years subsequent to termination thereof.

### Representation and Warranty

ALL MATERIALS AND INFORMATION (“MATERIALS”) PROVIDED BY ASCI ARE PROVIDED “AS IS”, AND ASCI MAKES NO REPRESENTATION OR WARRANTY, EXPRESS OR IMPLIED, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. IN NO EVENT SHALL ASCI BE LIABLE FOR ANY LIABILITY WHETHER IN CONTRACT, TORT OR OTHERWISE FOR ANY LOSSES OR DAMAGE ARISING OUT OF OR RELATING TO THIS AGREEMENT. IN NO EVENT SHALL ASCI BE LIABLE FOR ANY INDIRECT OR CONSEQUENTIAL LOSSES INCLUDING, WITHOUT LIMITATION, ANY LOSS OF PROFITS, CONTRACTS, PRODUCTION OR USE.

The Member acknowledges that because of the special nature of ASCI it is reasonable for ASCI to exclude liability as above and for the Member to take measures, including insurance where appropriate, to mitigate or prevent any potential losses that may arise (provided that such measures are not in breach of these Terms and Conditions).

If any third party makes or threatens to make any claim (or if, in the opinion of ASCI such a claim is likely) that the use by the Member of any Materials provided by ASCI infringes any intellectual property right of such third party, ASCI may, at its option, either secure the Member’s right to continue using the Materials, make the Materials noninfringing or withdraw the Materials on one months written notice whereupon the Member shall not be authorized to make any further use of the Materials. This sub-clause states the entire responsibility of ASCI concerning infringement of third party intellectual property rights.

Membership agreements for other Members participating in the same tier of membership shall have substantially similar terms and conditions.

### Renewal

The Membership Agreement shall automatically renew upon each anniversary date, unless terminated in accordance with "Termination" below.

### Termination

The Membership Agreement may be terminated:

- By either party giving to the other party sixty (60) days notice in writing.
- By ASCI on written notice to the Member if the Member has committed any material breach of the Membership Agreement and in the case of a breach capable of being remedied, has failed to remedy the breach, within 30 days after the receipt of a written request in writing from ASCI notifying the Member of such breach.
- By ASCI on written notice to the Member if the Member fails to pay any sum(s) due to ASCI under the Membership Agreement and such sum(s) remain unpaid for thirty (30) days after written notice from ASCI that such sum(s) have not been paid.

## **ASCI Membership Agreement Terms and Conditions**

- For the avoidance of doubt, termination of the Membership Agreement for any reason shall not entitle the Member to any refund of the annual sum due under the Membership Agreement.

### **Antitrust Guidance**

Certain types of activities conducted by industry participants may be subject to scrutiny under antitrust laws as being anti-competitive. In order to minimize exposure of the ASCI and its Members to antitrust liability, the ASCI and each Member agree to abide by the following guidelines when participating with, for or on behalf of the ASCI:

1. Neither the ASCI nor any of its committees shall be used for the purpose of bringing about or attempting to bring about any understanding or agreement, written or oral, formal or informal, express or implied, among and between competitors or between a supplier and its customer(s) with regard to prices, terms or conditions of sale, distribution, volume of production, territories, customers, credit terms or marketing practices.
2. The ASCI and its Members shall not discuss, communicate or engage in any other exchange between Members and/or Participants with regard to prices, pricing methods, production quotas or other limitations on either the timing, costs or volumes of production or sale, or allocation of territories or customers.
3. Neither the ASCI nor its Members and/or Participants shall engage in any activity or communication, other than unilateral action, that might be construed as an attempt to prevent any person or business entity from gaining access to any market or customer for goods and services, or to prevent any business entity from obtaining a supply of goods or services or otherwise purchasing goods or services freely in the market.
4. The qualifications for membership or participation in the ASCI are set forth in the ASCI Bylaws. No applicant for membership or participation, who otherwise meets the qualifications set forth therein, shall be rejected for any anti-competitive purpose or for the purpose of denying such applicant the benefits of membership or participation.
5. The ASCI shall not compel or coerce any Member and/or Participant into accepting or complying with any Adopted Specification.
6. Adherence to Adopted Specifications or sample implementations shall be voluntary on the part of the Members of the ASCI and shall in no way be compelled, directed or coerced by the ASCI, it being solely a voluntary decision on the part of the particular Member and/or Participant of the ASCI as to whether to adhere to or comply with any such Adopted Specifications or sample implementations.
7. Any Adopted Specifications or sample implementations shall be based solely and exclusively upon technical considerations and upon the merits of objective judgments and thorough procedures and shall in no way be based upon any effort, intention or purpose of any of its Members to reduce or eliminate competition in the sale, supply and furnishing of products and services.
8. If information, materials or reports of the ASCI for the use of the membership or participation is significant to third parties or others in the industry, then such information, material and reports will be made available by the ASCI to all such persons, on such reasonable terms and conditions as it may prescribe, in order to carry out its purposes.
9. To the extent that the purposes of the ASCI, as set forth in its Bylaws require, for the ASCI's purposes and objectives, joint research and development by two or more of its Members and/or Participants, or representatives thereof, any such joint research and development for the ASCI shall exclude the following activities:
  - the exchange of information among competitors relating to costs, sales, profitability, prices, marketing or distribution of any product, process, or service that is not reasonably required to conduct the research and development;
  - any agreement or any other conduct restricting, requiring, or otherwise involving the production or marketing by any Member and/or Participant of the ASCI of any product, process or service, other than the production or marketing of proprietary information developed through such joint research and development, such as patents and trade secrets; and
  - any agreement or any other conduct restricting or requiring the sale, licensing or sharing of inventions or developments not developed through such joint research and development, or restricting or requiring participation by any Member and/or Participant of the ASCI in other research and development activities, that is not reasonably required to prevent misappropriation of proprietary information contributed by any

## **ASCI Membership Agreement Terms and Conditions**

Member and/or Participant of the ASCI, or representative thereof, or of the results of such joint research and development.

### **Modification**

These Terms and Conditions may be modified by the inclusion by ASCI of additional requirements or terms on ninety (90) days written notice to the Member. In the event Member does not agree to such additional requirements, Member may resign from ASCI upon thirty (30) days written notice. Member shall not be entitled to a refund of membership fees pursuant to such resignation.

### **Governing Law**

The Membership Agreement shall be governed by and interpreted in accordance with the laws of the state of North Carolina.

If any provision of the Membership Agreement is for any reason declared illegal in any country, all other provisions shall remain in full force and effect to the fullest extent permitted by such law.

For the avoidance of any doubt, any such finding of illegality in any country shall not affect the validity of any provisions of the Membership Agreement in other countries.

The parties hereto expressly agree that (i) each party is acting solely on its own behalf and in its own interest, (ii) none of the parties has an intent to operate under this Agreement as a partnership of any form whatsoever, and (iii) each of the parties hereby elects not to apply the provisions of Subchapter K of the Internal Revenue Code to this Agreement.



## Signature Page

### Applicant Organization is a Current Member of ASCI

\_\_\_ Check here if Applicant organization is already a member of ASCI via another Forum such as the ISA100 Wireless Compliance Institute. Sign below to indicate you are adding participation in the *ISA Security Compliance Institute*.

Authorized Individual Name \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

MM/DD/YYYY

If Applicant is **not** already a member of ASCI, then please complete the following section

### Intent to Join the Automation Standards Compliance Institute (ASCI)

This application form is an offer by the Applicant to become an associate of ASCI, Inc as set forth below. By executing this Registration Form, Applicant agrees to be bound by the terms and conditions set forth in the Membership Agreement, the Bylaws of ASCI, and any ASCI policies, as may be duly amended from time to time. Dues are set forth above and are based on the Applicant's eligibility. Memberships and dues are automatically renewed each year unless cancelled 60 days prior to your anniversary date.

Authorized Individual Name \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

MM/DD/YYYY

**Mail or Fax Application to:** ASCI  
C/O ISA  
67 Alexander Drive  
P.O. Box 12277  
RTP, NC 27709 Fax# 919-549-8288

### Applicant Security Review

The key mission of the ISA Security Compliance Institute (ISCI) is to improve the cyber security of industrial automation control systems. This mission is forward-looking and global in scope. Membership applicants are expected to provide constructive support for ISCI's mission and supporting activities. Applicants judged to adversely impact ISCI's mission and welfare will be rejected. ISCI shall reject applicants whose country of origin appear on the United States Department of State listings for terrorist affiliations, are listed as a terrorist affiliated entity or, appear on a terrorist designation list.

# Credit Card Payment Authorization Form

Please complete the information below for credit card payment to the ASCI. Please print.

**Date** \_\_\_\_\_

**Credit Card Type** (please circle)      Visa              MasterCard              American Express

**Card Holder's Name** \_\_\_\_\_

**Billing Address** \_\_\_\_\_

\_\_\_\_\_

City \_\_\_\_\_

State/Province \_\_\_\_\_

Postal code \_\_\_\_\_

Country \_\_\_\_\_

**Authorized Amount (\$US)** \_\_\_\_\_

**Card Number** \_\_\_\_\_

**Expiration Date** \_\_\_\_\_

**Cardholder's Signature** \_\_\_\_\_